

FAIS FSP Disclosure Form



Disclosure and Information in terms of the financial Advisory and Intermediary Services (FAIS) Act.

Section 1 Financial Services Provider (FSP)

FSP Name	AT Global Capital Markets Africa (Pty) Ltd
Business Address	1801B 18 th Floor, Portside Tower 4 Bree Street Cape Town 8001
Postal Address	1801B 18 th Floor, Portside Tower 4 Bree Street Cape Town 8001
Telephone Number	+27 21 300 3117
Email address	Compliance.sa@atfxafrica.com
Website	www.atfx.africa/

FSP Legal Status

AT Global Capital Markets Africa (Pty) Ltd is authorised and regulated by the Financial Sector Conduct Authority (FSCA) under FSP No. 55142. The FSP accepts responsibility for the actions of its representatives, acting within their mandates, in the rendering of financial services as defined in the FAIS Act.

It's representatives either meet the 'Fit and Proper' requirements as prescribed by the FAIS Act or operate under appropriate supervisory procedures in accordance with the FAIS Act

The representatives are not remunerated from the product supplier and hereby submit that they do not receive more than 30% of the preceding year's commission or remuneration from the Product suppliers

Insurances

AT Global Capital Markets Africa (Pty) Ltd holds professional indemnity and fidelity guarantee insurance and cybercrime insurance

Category 1					
Authorised financial products	Financial Service		Authorised financial products	Financial Service	
	Advice-Non automated	Intermediary services		Advice-non-automated	Intermediary services
Shares		X	Short-term Deposits		X
Derivative instruments		X			
Long-term Deposits		X			

Legal Status of Representatives	Only the Broker's representatives are mandated to provide advice and/or intermediary services on some or all the above listed Financial Products.
Compliance Department	Compliance.sa@atfxafrica.com
Compliments/Complaints Department	Compliance.sa@atfxafrica.com
FAIS	Menlyn Central Office Building 125 Dallas Avenue Waterkloof Glen, Pretoria 0010 Telephone: +27 12 762 5000 / +27 12 470 9080 Facsimile: 0867641422 / +27 12 348 3447 Postal Address: P O Box 41, Menlyn Park, 0063 E-mail Address: info@faisombud.co.za Website: www.faisombud.co.za

Trading CFDs in foreign exchange (FX), index derivatives, and crypto-asset derivatives involves a high level of risk and is not suitable for all investors. These products are complex, leveraged financial instruments that can result in rapid losses, including the loss of your entire deposited capital or more.

Leverage & Margin Risk

Trading is conducted on margin. Leverage magnifies both profits and losses, and even small market movements can result in significant losses. Positions may be automatically closed without prior notice if margin requirements are not met.

Market & Volatility Risk

Forex, indices and crypto assets are subject to high volatility due to economic events, interest rate changes, geopolitical developments, market sentiment, and regulatory or technological factors.

Crypto-Asset Specific Risks

Crypto-asset derivatives involve additional risks including extreme price volatility, reduced liquidity, regulatory changes, market manipulation risks, and technology or network disruptions. Crypto assets are not legal tender.

Liquidity, Counterparty & Technology Risk

Trades are executed over the counter (OTC) with AT Global Markets Intl Ltd (hereinafter the "Execution Venue") incorporated under the laws of the Republic of Mauritius and will act as the Execution Venue / Counterparty for the Client's Orders. Liquidity constraints, system failures, connectivity issues, or cybersecurity incidents may result in execution delays or losses.

No Investment Advice

The broker is authorised as a Category I Financial Services Provider and provides intermediary services only. No investment advice or discretionary services are provided.

Waiver of Rights

You are hereby advised that no representatives of the provider or any other person may ask you, or offer any inducement to you, to waive any right or benefit conferred on you by or in terms of any provision of the FAIS Act

Acknowledgement

By opening an account or placing trades, you confirm that you have read, understood, and accepted this Risk Disclosure.

Trading Service

AT Global Markets Intl Ltd (hereinafter the "Execution Venue") is incorporated under the laws of the Republic of Mauritius and will act as the Execution Venue for the Client's Orders. AT Global Markets Intl Ltd is authorised and regulated by the Financial Services Commission of Mauritius under license number C118023331. Its registered office is situated at G08, Ground Floor, The Catalyst, Silicon Avenue, 40 Cybercity, 72201 Ebène, Republic of Mauritius. In all transactions, AT Global Markets Intl Ltd act as principal and not as agent on your behalf and we are therefore the sole execution venue for the execution of your Transactions.