

FAIS FSP and Rep Disclosure Form



Disclosure and Information in terms of the financial Advisory and Intermediary Services (FAIS) Act.

Section 1 Financial Services Provider (FSP)

| | |
|-------------------------|--|
| FSP Name | AT Global Markets SA (Pty) Ltd |
| Business Address | 1801B 18 th Floor, Portside Tower 4 Bree Street Cape Town 8001 |
| Postal Address | 1801B 18 th Floor, Portside Tower 4 Bree Street Cape Town 8001 |
| Telephone Number | +27 21 330 5309 |
| Email address | Compliance.sa@atfxafrica.com |
| Website | www.atfx.com/en-za |

FSP Legal Status

AT Global Markets SA (Pty) Ltd is authorised and regulated by the Financial Sector Conduct Authority (FSCA) under FSP No. 44816. The FSP accepts responsibility for the actions of its representatives, acting within their mandates, in the rendering of financial services as defined in the FAIS Act.

It's representatives either meet the 'Fit and Proper' requirements as prescribed by the FAIS Act or operate under appropriate supervisory procedures in accordance with the FAIS Act

*The representatives **are /are not** remunerated from the product supplier and hereby submit that they **do/or do not** receive more than 30% of the preceding year's commission or remuneration from the Product suppliers*

Insurances

AT Global Markets SA (Pty) Ltd holds professional indemnity and fidelity guarantee insurance and cybercrime insurance

| Category 1 | | | Category 2 | | |
|--|----------------------|-----------------------|-------------------------------|----------------------|-----------------------|
| Authorised financial products | Financial Service | | Authorised financial products | Financial Service | |
| | Advice-Non automated | Intermediary services | | Advice-non-automated | Intermediary services |
| Derivative instruments | X | X | Derivative instruments | | X |
| Participatory interest in a collective investment scheme | X | X | Long-Term Deposits | | X |
| Short-term Deposits | X | X | | | |

| | |
|-----------------------------------|---|
| Legal Status of Representatives | Only the Broker's representatives are mandated to provide advice and/or intermediary services on some or all the above listed Financial Products. |
| Compliance Department | Compliance.sa@atfxafrica.com |
| Compliments/Complaints Department | Compliance.sa@atfxafrica.com |
| FAIS Ombudsman | Menlyn Central Office Building 125 Dallas Avenue Waterkloof Glen, Pretoria 0010 Telephone: +27 12 762 5000 / +27 12 470 9080 Facsimile: 0867641422 / +27 12 348 3447 Postal Address: P O Box 41, Menlyn Park, 0063 E-mail Address: info@faisombud.co.za Website: www.faisombud.co.za |

Trading in foreign exchange (Forex), index derivatives, and crypto-asset derivatives involves a high level of risk and is not suitable for all investors. These products are complex, leveraged financial instruments that can result in rapid losses, including the loss of your entire deposited capital or more.

Leverage & Margin Risk

Trading is conducted on margin. Leverage magnifies both profits and losses, and even small market movements can result in significant losses. Positions may be automatically closed without prior notice if margin requirements are not met.

Market & Volatility Risk

Forex, indices and crypto assets are subject to high volatility due to economic events, interest rate changes, geopolitical developments, market sentiment, and regulatory or technological factors.

Crypto-Asset Specific Risks

Crypto-asset derivatives involve additional risks including extreme price volatility, reduced liquidity, regulatory changes, market manipulation risks, and technology or network disruptions. Crypto assets are not legal tender.

Liquidity, Counterparty & Technology Risk

Trades are executed over the counter (OTC) with the broker as counterparty. Liquidity constraints, system failures, connectivity issues, or cybersecurity incidents may result in execution delays or losses.

No Investment Advice

The broker is authorised as a Category I Financial Services Provider and provides intermediary services only. No investment advice or discretionary services are provided.\

Waiver of Rights

You are hereby advised that no representatives of the provider or any other person may ask you, or offer any inducement to you, to waive any right or benefit conferred on you by or in terms of any provision of the FAIS Act

Acknowledgement

By opening an account or placing trades, you confirm that you have read, understood, and accepted this Risk Disclosure.