Sophisticated Investor Questionnaire
Client Acknowledgement

Some financial products (wholesale financial products) are not available to retail investors. In order for you to access these products, AT Global Markets (Australia) Pty Ltd ("ATFX") needs to be satisfied on reasonable grounds that you have sufficient experience using financial services and investing in financial products to meet the Corporations Act criteria under section 761GA to be able to assess for yourself:

- The merits and value of a financial product or service;
- The risks associated with holding a financial product;
- The information you need when considering the financial product;
- The adequacy of the information ATFX gives you.

This is important because these products do not have the usual "retail client" disclosures (such as a Product Disclosure Statement) or investor protection rules (such as complaints processes and negative balance protection), so you need to be able to decide what information you need to obtain to assess the product for yourself.

To make these determinations about you, ATFX needs to obtain some information about your background and experience in investment. It is important that you answer these questions yourself and as completely as you can. This information will not be used for any other purpose or disclosed to any third party.

I/we acknowledge that

1. I have completed this questionnaire myself and all the information is true, complete and correct to the best of my knowledge.

2. I will not be acquiring the relevant financial products or services in connection with a business.

3. If granted, ATFX Australia may withdraw my sophisticated investor status at any time in its discretion.

4. The financial products and services which ATFX Australia will provide to me as a sophisticated investor do not necessarily have the same investor protection and disclosure requirements as products made available to retail clients by the product intervention order 2020/986 and I am satisfied that I have the ability to obtain the information needed to make an informed decision.

5. ATFX Australia is not required to give to me any document of disclosure applicable to retail clients in relation to the relevant financial products or services.

6. ATFX Australia will not have any obligation to me that ATFX Australia would otherwise have if it were to provide these same products and services to a retail client.

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7. ATFX Australia may, from time to time, voluntarily provide some of the retail client disclosure documents and protections to me, at its discretion. The provision of such documents or protections at any particular time does not create any entitlement in me to their continued provision.

8. If I am already an ATFX Australia client, from the date that I am granted sophisticated investor status previously issued disclosure and engagement documents will no longer correctly reflect my rights and powers and should not be relied on.

9. I understand the below rules which apply to Retail and Sophisticated Investors and, if granted, I will be subject to the rules for Sophisticated Investors.

Rule	Retail	Sophisticated Investor
Margin Close-out If the total equity in your account falls below this level your positions will start to be closed out.	50%	50%
Negative Balance Protection The total losses on your CFD positions will be limited to the funds in your trading account.	✔	✘
Client Inducements Certain benefits cannot be given or offered to you as a retail trader such as deposit bonuses or volume rebates.	✘	✔
Access to Internal Dispute Resolution System Although we aren't required to by law, all clients will still have access to our dispute resolution system.	✔	✔
Access to AFCA Access to external dispute resolution through the Australian Financial Complaints Authority (AFCA).	✔	✘
Disclosure Documents Product disclosure statements and financial services guides.	✔	✘
Segregated Funds Whilst we are required to segregate Sophisticated Investor's client funds, we are not required to segregate Wholesale Client Funds.	✔	✔